



Silicon Integration Initiative, Inc. (Si2) Antitrust Guidelines

Si2 Antitrust Guidelines

It is the corporate policy of Si2 to comply fully with the antitrust laws and for its Members and their representatives to comply fully with the antitrust laws in their Si2 activities. The following checklist is intended to provide basic antitrust guidance to all persons involved in Si2 activities. It must be kept in mind that this checklist is not a statement of the applicability of the antitrust laws to particular proposed activities or situations. Accordingly, if you have questions concerning the applicability of the antitrust laws to particular proposed activities or situations, you should contact Si2 management and/or consult with your own legal counsel.

- 1 There should be no discussion or exchange of information relating to any of the following:
 - a. any research efforts or planned future products of the Members, including any product characteristics or proposed introduction dates, which are not publicly announced;
 - b. terms or conditions of sale of the Members' independently marketed products or services, including price, discounts, distribution practices and credit;
 - c. profits, margins, or costs of Members' independently marketed products or services;
 - d. market shares;
 - e. bids or intentions to bid;
 - f. sales territories;
 - g. selection, classification, rejection, or termination of customers by Members;
 - h. servicing of any product, tangible or intangible;
 - i. exclusive dealing arrangements; and
 - j. any other competitive information deemed proprietary by individual Members.
- 2 Fair procedures should be followed in connection with Si2's specification setting activity, including the following:
 - a. written guidelines for any requests for proposals (RFP) of technology specifications (or reference implementations of technology) and a method to ensure compliance with those guidelines;
 - b. no barriers to participation in the RFP process and open acceptance of submissions;
 - c. objective requirements for evaluation or assessment of proposed technology;
 - d. evaluations based upon technical merit and conducted by engineers or experts in the area evaluated and by disinterested Members who do not have a proprietary stake in the outcome;
 - e. participation by a broad cross-section of Members having relevant expertise, skills or knowledge in the industry on committees that make recommendations to the group; and
 - f. balanced representation of Members during the voting for a specification.

3 The specifications adopted should be open and not proprietary, and no product should be endorsed. To that end, adopted specifications should be function-based and allow individual variation, consistent with Si2's goal of promoting interoperability.

4 Si2 should not exercise control over a Member's independent business activities, including that Member's choice or decision to comply with endorsed specifications. Compliance with specifications should be voluntary rather than mandatory, and Membership should not be conditioned on a Member's use or adoption of a specification.

5 Membership in Si2 should be available to all interested parties, and no Member should be terminated except for good cause related to Si2's goals and objectives.

6 Si2 should avoid activity that forecloses business opportunities of non-Members or stifles innovation by the Members. Specifications and related material should be made publicly available to Members and non-Members alike, and each Member should remain free to use the adopted specifications as it sees fit to compete with other Member and non-Member companies in creating products.

7 Immediately refer all inquiries or requests for information, written or oral, from government regulators or any legal representative, to Si2 Management and/or your own legal counsel.

Please do not consider the above guidelines as a complete recitation of the law. They are merely guidelines. If any situation appears unfair, anti-competitive or contrary to the spirit of the guidelines, you should bring this to the attention of the Si2 Management and/or your own legal counsel